

28/F, Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong
 香港銅鑼灣希慎道 33 號利園一期 28 樓

Waiver of Confidentiality

Client Information	
Client Name	
Taxpayer Identification Number (TIN)	
Contact Phone No.	

Waiver of Confidentiality

I / we confirm that I am / we are U.S. person** as defined by the Internal Revenue Service ("IRS") of the U.S. Government or by an enactment made by the U.S. Congress for any tax purpose. Also, I / we have provided or will provide to China Everbright Securities International Company Limited and/or its affiliates and/or its related companies, which include but not limited to Everbright Securities Investment Services (HK) Limited, CES Nominees (HK) Limited, Everbright Securities Digital Finance (HK) Limited, Bright Bullion Company Limited***, CES Commodities (HK) Limited, CES Forex (HK) Limited, Bright Fund Management Limited (collectively "EBSI") a Form W-9 in connection with reporting requirements imposed by the IRS.

In connection with the requirements and regulations established by the IRS, U.S. law requirements, other requirements or requests, I /we hereby acknowledge and consent to provide all information, which includes but not limited to information, documents, certifications, personal data and related materials concerning me / us, my / our authorized representative(s), my / our account(s) maintained with EBSI ("My Account") with respect to all sources of income received by me in my / our account(s), to EBSI immediately on demand by EBSI. I / we also acknowledge and consent to the disclosure by EBSI to the IRS and/or the U.S. government and/or any related entity(ies) of the U.S. government and/or other persons and/or authority(ies) of any information, which includes but not limited to information concerning income, tax withholding, tax information, personal data and related material concerning me / us , my / our authorized representative(s), My / our Account(s) with respect to all sources of income received by me / us in my / our account(s). I / we agree that the release of information by EBSI to the IRS and/or the U.S. government and/or any related entity(ies) of the U.S. government and/or other persons and/or authority(ies) shall not be considered a breach of any duty of confidentiality owed to me / us (if any). I / we also agree to notify EBSI forthwith in writing of any change in information provided by me/ us to EBSI.

I / we represent and warrant to EBSI that I / we have taken all action necessary to authorize the disclosure of information to EBSI and the transfer of information by EBSI.

Signature(s) of Account Holder(s)

The signature(s) shall be the same as specimen signature of the Account.	Date (MM-DD-YYYY):

** **Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

*** Bright Bullion Company Limited operates under a separate brand.

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).